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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Docket No. 12778US03)

In the Application of:
Saliba

Serial No.: 10/786,196

Filed: February 25, 2004

For: Method and Apparatus for Stock and Index) Option Price Improvement, Participation, and)

Internalization

Examiner: Jagdish N. Patel

Group Art Unit: 3624

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited, with sufficient postage, with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Joseph M. Butscher Reg. No. 48,326

Date: November 28, 2006

STATUS INQUIRY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This paper is submitted as an inquiry as to the status of the application identified above. The present application was filed February 25, 2004, and is a continuation of Serial No. 09/621,769, which was filed July 21, 2000, and issued as United States Patent No. 6,829,589. Despite the fact that the present application has been pending for almost three years (and claims priority to a patent that was filed over six and a half years ago), the Applicant has yet to receive an office action. Please advise the undersigned of the present status of the application.

Date: November 28, 2006

Joseph M. Butscher Reg. No. 48,326

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Respectfully submitted,